



State of Illinois

State Internal Audit Advisory Board

**SIAAB 2017 Fall
Government
Auditing
Conference**

October 24-26, 2017

Northfield Inn, Suites &
Conference Center
Springfield, IL

Co-Sponsored by the Springfield
Chapter of The Institute of
Internal Auditors



State of Illinois

State Internal Audit Advisory Board

2017 Fall Government Auditing Conference
October 24-26, 2017

The State Internal Audit Advisory Board (SIAAB) is proud to once again co-sponsor this year's Fall Government Auditing Conference with the Springfield Chapter of the Institute of Internal Auditors.

Part of the SIAAB's mission is to serve as a clearinghouse for the correlation of internal audit training needs among the State's internal audit agencies. This year's conference offers a wide variety of topics, including breakout sessions where peers can exchange ideas and information. We hope that the range of topics offered at this conference will be beneficial to all participants and their agencies.

Please take this opportunity to join us in Springfield for our annual Fall Government Auditing Conference. For only \$95, you can earn up to 18 hours of CPE, plus an additional 3 hours of CPE are available at an optional half-day Springfield IIA Chapter session at a cost of \$45 for members, \$60 for nonmembers, and \$25 for students. I would also like to invite you to learn more about the SIAAB by visiting our Web site at: <http://siaab.audits.uillinois.edu>.

H. Jay Wagner, CIA, CFE, CISA
Chief Internal Auditor
Illinois Office of the Attorney General

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THINGS TO DO IN SPRINGFIELD

- Abraham Lincoln Presidential Museum & Library
- Dana Thomas House
- Lincoln's Home, National Historic Site
- Lincoln's Tomb
- Illinois State Capitol
- Henson Robinson Zoo
- White Oaks Mall

And much more!



Venture out and explore the Land of Lincoln!

Springfield is bursting with things to see and do. Whether you are looking for something upbeat or

low key, Springfield has it all. The opportunities are endless!

Springfield is also known for its unique dining and locally owned restaurants. From the city's original horseshoe sandwich to Caribbean cuisine, Springfield's restaurants offer an eclectic selection to pamper any palate.

Additional information about the many attractions in and around Springfield can be found at <http://www.visit-springfieldillinois.com>.

HOTEL ACCOMMODATIONS

The venue, **Northfield Inn, Suites & Conference Center** in Springfield, Illinois, is offering a special discount rate the night before each conference date.

The *Northfield Inn* is located at:
**3280 Northfield Drive
Springfield, IL 62702**



Reservations are to be made directly with the *Northfield Inn* by calling **217-523-7900**. The block of rooms is reserved under the name of **The Institute of Internal Auditors** at the State rate of \$70.00 (plus tax). **The block of rooms will be released on October 15, 2017**. After the cutoff date, there is no guarantee that rooms under this block or at State rates will be available.

Additional information about the Northfield Inn can be found at <http://www.northfieldinn.com>.

2017 SIAAB Fall Government Auditing Conference

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DATE

Tuesday, October 24, 2017, thru Thursday, October 26, 2017

FIELD OF STUDY

Governmental Accounting and Auditing

COURSE OBJECTIVE

Increase professional accounting and auditing skills pertaining to:

- The Grant Accountability and Transparency Act (GATA)
- Cyber-security Concerns in State Government
- IIA Standards and Practice Guide
- Ethics and Integrity in State Government
- Fraud in Government

COURSE LEVEL

- External / Internal Audit Staff;
- External / Internal Audit Supervisors;
- External / Internal Audit Managers; and
- Chief Internal Auditors / Chief Audit Executives.

(No advanced preparation required.)

LOCATION: Northfield Inn, Suites & Conference Center

MAXIMUM CPE OFFERED:

- 18 Hours for attending the 2017 SIAAB Fall Government Conference
- 3 Hours for attending the optional Springfield IIA Chapter half-day session on October 26, 2017

COURSE FEES:

- \$95.00 for the 2017 SIAAB Fall Government Conference
- \$45.00 (Member), \$60 (Nonmember), and \$25 (Student) fee for the optional Springfield IIA Chapter half-day session on October 26, 2017



October 24, 2017 Agenda

- 07:45 a.m. – 08:25 a.m. REGISTRATION / BREAKFAST / SIGN-IN
- 08:25 a.m. – 08:30 a.m. OPENING REMARKS
Jay Wagner, CIA, CFE, CISA
SIAAB Chairman
Chief Internal Auditor, Illinois Office of the Attorney General
- 08:30 a.m. – 09:30 a.m. GATA Update
Carol Kraus, Director of Grant Accountability and
Transparency Unit, Governor's Office of Management and
Budget
- 09:30 a.m. – 9:45 a.m. BREAK
- 09:45 a.m. – 10:50 a.m. Cyber-Security Concerns in State Government
Chris Hill, Acting Chief Information Security Officer,
Department of Innovation & Technology (DoIT)
- 10:50 a.m. – 10:55 a.m. SHORT BREAK
- 10:55 a.m. – 12:00 p.m. Audit Reports: IIA Practice Guide
Debi Roth, CIA
- 12:00 p.m. – 01:00 p.m. LUNCH AND ANNOUNCEMENTS
- 01:00 p.m. – 03:00 p.m. Ethics in State Government
JoAnn Johnson, Colonel, Illinois State Police
- 03:00 p.m. – 03:20 p.m. BREAK
- 03:20 p.m. – 04:20 p.m. Fraud in Government – A Story of Recovery
Clay McCord, Finance Director, and
Mukesh Singh, Compliance Officer
Miami Township, Ohio
- 04:20 p.m. WRAP-UP AND ADJOURNMENT

October 25, 2017 Agenda

07:45 a.m. – 08:25 a.m.	REGISTRATION / BREAKFAST / SIGN-IN
08:25 a.m. – 08:30 a.m.	OPENING REMARKS Jay Wagner, CIA, CFE, CISA SIAAB Chairman Chief Internal Auditor, Illinois Office of the Attorney General
08:30 a.m. – 09:30 a.m.	Wannacry: A Complete Compromise Walkthrough Spencer Strausbaugh and Teddy Guzek, Senior Consultants, Protiviti
09:30 a.m. – 09:35 a.m.	SHORT BREAK
09:35 a.m. – 10:30 a.m.	Eight Processes Needed to Securely Govern and Manage Social Media Kyle Shockley, Manager of Security & Privacy, Protiviti
10:35 a.m. – 10:50 a.m.	BREAK
10:50 a.m. – 12:00 noon	Auditor General Update
12:00 noon – 1:00 p.m.	LUNCH AND ANNOUNCEMENTS
1: 00 p.m. – 01:50 p.m.	Maintaining the Public Trust Ann Spillane, Chief of Staff for the Illinois Attorney General
01:50 p.m. – 02:00 p.m.	SHORT BREAK
02:00 p.m. – 03:00 p.m.	Rapid Results John Baranzelli, Deputy Director, Office of Rapid Results at Illinois Department of Central Management Services
02:00 p.m. – 03:00 p.m.	University Auditors' Roundtable Discussion Julie Zemaitis, Executive Director of University Audits University of Illinois System
03:00 p.m. – 03:15 p.m.	BREAK
03:15 p.m. – 04:15 p.m.	Rapid Results (<i>continued</i>)
03:15 p.m. – 04:15 p.m.	University Auditors' Roundtable Discussion (<i>continued</i>) Julie Zemaitis, Executive Director of University Audits University of Illinois System
04:15 p.m. – 04:20 p.m.	EVALUATION, WRAP-UP, AND ADJOURNMENT

October 26, 2017 Agenda

- 07:45 a.m. – 08:00 a.m. REGISTRATION / BREAKFAST / SIGN-IN
- 08:00 a.m. – 09:30 a.m. Chief Internal Auditors' Roundtable Discussion
Stephen Kirk, Chief Internal Auditor
Illinois Department of Transportation
- 08:00 a.m. – 09:30 a.m. IT Auditors' Roundtable Discussion
Doug Tinch, Chief Internal Auditor
Illinois Department of Innovation & Technology
- 08:00 a.m. – 09:30 a.m. Staff Auditors' Roundtable Discussion
Paula Sorensen, Illinois Capital Development Board
Tad Huskey, Illinois Office of the Attorney General
- 09:30 a.m. – 09:40 a.m. BREAK
- 09:40 a.m. – 11:00 a.m. Chief Internal Auditors' Roundtable Discussion
Stephen Kirk, Chief Internal Auditor
Illinois Department of Transportation
- 09:40 a.m. – 11:00 a.m. IT Auditors' Roundtable Discussion
Doug Tinch, Chief Internal Auditor
Illinois Department of Innovation & Technology
- 09:40 a.m. – 11:00 a.m. Staff Auditors' Roundtable Discussion
Paula Sorensen, Illinois Capital Development Board
Tad Huskey, Illinois Office of the Attorney General
- 11:00 a.m. – 11:10 a.m. BREAK
- 11:10 a.m. – 11:50 a.m. Chief Internal Auditors' Roundtable Discussion
Doug Tinch, Chief Internal Auditor
Illinois Department of Innovation & Technology
- 11:10 a.m. – 11:50 a.m. IT Auditors' Roundtable Discussion
Jay Wagner, Chief Internal Auditor
Illinois Office of the Attorney General
- 11:10 a.m. – 11:50 a.m. Staff Auditors' Roundtable Discussion
Paula Sorensen, Illinois Capital Development Board
Tad Huskey, Illinois Office of the Attorney General
- 11:50 a.m. – 01:00 p.m. LUNCH

October 26, 2017 Agenda (Optional IIA Training)

- | | |
|-------------------------|--|
| 01:00 p.m. – 02:15 p.m. | Springfield IIA Chapter Session – Power Excel
“Mr. Excel” Bill Jelen |
| 02:15 p.m. – 02:30 p.m. | BREAK |
| 02:30 p.m. – 04:00 p.m. | Springfield IIA Chapter Session – Power Excel (<i>continued</i>)
“Mr. Excel” Bill Jelen |

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Tuesday, October 24, 2017, through Thursday, October 26, 2017
Northfield Inn, Suites & Conference Center

REGISTRATION FEES:

\$95 for the 2017 SIAAB Fall Government Auditing Conference, October 24, 2017, October 25, 2017, and the morning of October 26, 2017 (Fee includes Continental Breakfast, Lunch, & Refreshments on October 24 and 25, and Continental Breakfast and box lunch on October 26.)

\$45 (Member fee), **\$60** (Nonmember fee), **\$25** (Student fee) for the optional Springfield IIA Chapter half-day training session on October 26, 2017, at 1:00 p.m.

CONFERENCE REGISTRATION

ADVANCED PAYMENT FOR REGISTRATION IS REQUIRED.

Payment for registrations are accepted online by credit card, in advance by mail, or by paying at the door on the day of the training.

Make Checks Payable to

THE INSTITUTE OF INTERNAL AUDITORS – SPRINGFIELD
(FEIN 37-1088763)

Please Mail Checks to

The Institute of Internal Auditors – Springfield Chapter
P.O. Box 205, Springfield, IL 62705

Please register on-line at:

SIAAB Fall Conference: <http://www.etches.com/siaab2017conference>

Optional Springfield IIA Chapter Seminar:

<https://chapters.theiia.org/springfield/Events/Pages/Power-Excel-with-Mr-Excel.aspx>

CANCELLATION POLICY

Written notice of cancellations received before **October 18, 2017**, will be fully refunded. Cancellations received from **October 18–October 20, 2017**, will be refunded less a \$25 processing fee. On or after **October 21, 2017**, cancellation refund requests will be considered on a case-by-case basis. Substitution of registrants is allowed.

PLEASE NOTE

Registration for this conference **will be closed at midnight, October 18, 2017**. Registrations after the closing date will be added as cancellations are received. If you wish to be placed on a waiting list for this conference, please contact H. Jay Wagner at 217-524-4094 or at HWagner@atg.state.il.us